

Practitioner's Docket No. 3470.002A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Florio, Vito V.; Michaels, Richard L.

Application No.: 09/955,598

Group No.: 2166

Filed: September 18, 2001

Examiner: n/a

For: SYSTEM AND METHOD FOR PRESCRIBING UNREGULATED THERAPEUTIC  
SUBSTANCES IN AN INTEGRATED HEALTH CARE PROGRAM

Assistant Commissioner for Patents  
Washington, D.C. 20231

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
WITHIN THREE MONTHS OF FILING OR  
BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. section 1.97(b))

IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. section 1.97(b).

Date: 11/26/01

Reg. No.: 40,693  
Tel. No.: -727-538-3800  
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Dennis G. LaPointe  
Signature of Practitioner

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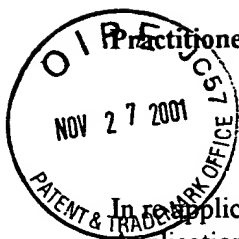
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Lia H. Costello, Legal Asst.  
(type or print name of person certifying)



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PATENT

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Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

List of Sections Forming Part of This Information Disclosure Statement

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Date: 11-27-01

Lia H. Costello  
Signature

Lia H. Costello, Legal Assistant  
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The following sections are being submitted for this Information Disclosure Statement:

1. Preliminary Statements
2. Forms PTO/SB/08A and 08B (formerly Form PTO-1449)
3. Copies of Listed Information Items Accompanying This Statement
4. Identification of Person(s) Making This Information Disclosure Statement

**Section 1. Preliminary statements**

Applicants submit herewith patents, publications or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. section 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

**Section 2. Forms PTO/SB/08A and 08B (substitute for Form PTO-1449)**

(Insert form(s) PTO/SB/08A [Form 6-2] and/or form(s) PTO/SB/08B [Form 6-2.1].)

**Section 3. Copies of Listed Information Items Accompanying This Statement**

Legible copies of all items listed in Forms PTO/SB/08A and 08B (substitute for Form PTO-1449) accompany this information statement.

**Section 4. Identification of Person Making This Information Disclosure Statement**

The person making this certification is:

- a. the practitioner who signs below on the basis of the information supplied by the inventor(s), supplied by an individual associated with the filing and prosecution of this application (37 C.F.R. section 1.56(c)), and in the practitioner's file.

Date: 11/26/01

  
Signature of Practitioner

Reg. No.: 40,693  
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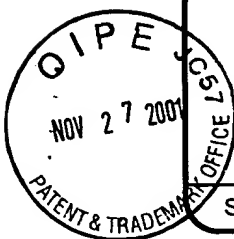
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**Complete if Known**

<b>Application Number</b>	09/955,598
<b>Filing Date</b>	09/18/2001
<b>First Named Inventor</b>	Vito V. Florio
<b>Group Art Unit</b>	2166
<b>Examiner Name</b>	n/a
<b>Attorney Docket Number</b>	3470.002A

*(use as many sheets as necessary)*

Sheet 1 of 1

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**Examiner  
Signature**

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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